

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97121

Isao KURIHARA, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: September 15, 2006

Examiner: Not Yet Assigned

For: LUBRICATING OIL COMPOSITION FOR DIESEL ENGINE

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The following references or family members in the ISR are submitted:

US 2004/0176260 A1

JP 2003/292982 A

JP 9-111275 A

JP 2000-63876 A

US 2003/0216266 A1

JP 2003-336089 A

JP 2004-35652 A

JP 2005-146285 A

The following references were cited in the specification page 2 lines 16-18:

JP 06-049476

JP 07-010273

JP 08-048989

JP 2000-256690

JP 2000-119680

JP 03-062893

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

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waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

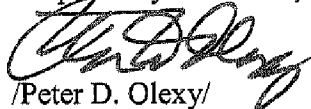
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Respectfully submitted,



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Date: September 15, 2006

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	<del>Not Yet Assigned</del> 10/598,980
				Confirmation Number	Not Yet Assigned
				Filing Date	September 15, 2006
				First Named Inventor	Isao KURIHARA
				Art Unit	<del>Not Yet Assigned</del> 1797
Examiner Name				<del>Not Yet Assigned</del> MCAVOY	
Sheet	1	of	1	Number	Q97121

U.S. PATENT				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication MM-DD
		Number	Kind Code <sup>3</sup> (if known)	
/E.M./		US 2004-0176260	A1	09-09-2
/E.M./		US 2003-0216266	A1	11-20-2
		US		
		US		
		US		
		US		
		US		
		US		
		US		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>2</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
/E.M./		JP	06-049476		02-22-1994	Lubrizol Corp:The	Abstract
/E.M./		JP	07-010273		01-13-1995	Ulvac Japan Ltd.	Abstract
/E.M./		JP	08-048989		02-20-1996	Idemitsu Kosan Co., Ltd	Abstract
/E.M./		JP	2000-256690		09-19-2000	Nippon Mitsubishi Oil Corp; Mitsubishi Motors Corp	Abstract
/E.M./		JP	2000-119680		04-25-2000	Cosmos Sekiyu Lubricants KK; Hino Motors Ltd.8	
/E.M./		JP	03-062893		03-18-1991	Exxon Chem Patents Inc.	Abstract
/E.M./		JP	2003-292982		10-15-2003	Nippon Oil Corp	Abstract
/E.M./		JP	09-111275		04-28-1997	Nippon Oil Co Ltd	Abstract
/E.M./		JP	2000-063876		02-29-2000	Showa Shell Sekiyu KK	Abstract
/E.M./		JP	2003-336089		11-28-2003	Chevron Texaco Japan Ltd.	Abstract
/E.M./		JP	2004-035652		02-05-2004	Cosmo Sekiyu Lubricants KK	Abstract
/E.M./		JP	2005-146285		06-09-2005	Infineum Internatl Ltd	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	/Ellen McAvoy/	Date Considered	04/24/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.